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FCCC/SBI/2014/L.22

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Subsidiary Body for Implementation
Fortieth session
Bonn, 4–15 June 2014

Agenda item 13
Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

Draft conclusions proposed by the Chair

1. In accordance with decision 1/CP.18, paragraph 96, the Subsidiary Body for Implementation (SBI), at its fortieth session, continued its consideration of the technical paper prepared by the secretariat[1] in line with decision 1/CP.18, paragraph 95.

2. The SBI took note of information contained in the technical paper referred to in paragraph 1 above.

3. The SBI also took note of the submission from Turkey dated 14 February 2014.[2]

4. The SBI concluded that no further discussion was required under this agenda item, deemed its consideration of this agenda item completed and recommended a draft decision on this matter for consideration and adoption by the Conference of the Parties at its twentieth session (for the text of the draft decision, see document FCCC/SBI/2014/L.22/Add.1).

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[1] FCCC/TP/2013/3.

[2] <http://www4.unfccc.int/submissions/SitePages/sessions.aspx?showOnlyCurrentCalls=1&populateData=1&expectedsubmissionfrom=Parties>.

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Agenda item 13
Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

Draft conclusions proposed by the Chair

Addendum

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its fortieth session, concluded that no further discussion was required under this agenda item, deemed its consideration of this agenda item completed and recommended the following draft decision for consideration and adoption by the Conference of the Parties at its twentieth session:

Draft decision -/CP.20

Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

The Conference of the Parties,

Reaffirming decisions 26/CP.7, 1/CP.16, 2/CP.17 and 1/CP.18, which recognized that Turkey is in a situation different from that of other Parties included in Annex I to the Convention,

Also reaffirming the importance of financial, technological and capacity-building support to Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties in order to assist these Parties in implementing the Convention,

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1. *Recognizes* the opportunities for Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties to benefit, at least until 2020, from support from relevant bodies established under the Convention and other relevant bodies and institutions to enhance mitigation, adaptation, technology, capacity-building and access to finance;

2. *Encourages* Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties to fully utilize those opportunities;

3. *Urges* Parties included in Annex II to the Convention which are in a position to do so, through multilateral agencies, including the Global Environment Facility within its mandate, relevant intergovernmental organizations, international financial institutions, other partnerships and initiatives, bilateral agencies and the private sector, or through any further arrangements, as appropriate, to provide financial, technological, technical and capacity-building support to Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties in order to assist them in implementing their national strategies, actions and plans on climate change mitigation and adaptation, and developing their low-emission development strategies or plans in accordance with decision 1/CP.16.

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Agenda item 3(c)
Reporting and review of Parties included in Annex I to the Convention
Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

Draft conclusions proposed by the Chair

The Subsidiary Body for Implementation recommended a draft decision on this matter for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session.

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its fortieth session, recommended the following draft decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session:

Draft decision -/CMP.10

Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decision 27/CMP.1, annex, section XIII,

Also recalling decisions 13/CMP.1, 14/CMP.1 and 22/CMP.1,

Emphasizing the importance of the expert review process under Article 8 of the Kyoto Protocol for the last year of the commitment period, in view of the central role of

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this process in the assessment of Party compliance with commitments under Article 3, paragraph 1, of the Kyoto Protocol,

Concerned that a significant proportion of annual inventory review reports have not been finalized by 15 April of the year following the submission of annual reports in recent annual review cycles, despite measures identified to facilitate their timely completion,

Recognizing that the 2014 review process may encounter further difficulty due to the complexity of the process, as it is the last review for the first commitment period and that delays may result from factors that are not within the control of the Party subject to review,

Recalling that the secretariat maintains a compilation and accounting database to compile and account for emissions and assigned amounts, pursuant to Article 3, paragraphs 7 and 8, of the Protocol and of additions to, and subtractions from, assigned amounts, pursuant to the same paragraphs, for the accounting of the compliance assessment, in order to facilitate the assessment of the compliance of each Party included in Annex I with its commitment under Article 3, paragraph 1, of the Protocol,

Noting the importance of transparent information on the status of the 2014 compliance review in advance of the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, to be held from 30 November to 11 December 2015,

1. *Decides* that the expert review process under Article 8 of the Kyoto Protocol for the last year of the first commitment period shall be completed by 10 August 2015 and decides that if the expert review process is not completed by this date, it shall continue, and the date of completion shall be the date of publication of the last inventory review report for the last year of the first commitment period;

2. *Urges* the secretariat to expedite the review process to satisfy this deadline;

3. *Decides* that the report upon expiration of the additional period for fulfilling commitments for the first commitment period, covering the information required in decision 13/CMP.1, annex, paragraph 49, using the standard electronic format tables as agreed in decision 14/CMP.1, shall be submitted as soon as practicable but not later than 45 days after the expiration of the additional period for fulfilling commitments for the first commitment period (hereinafter referred to as the true-up period);

4. *Also decides* that the secretariat shall produce, in electronic format, on 30 September 2015 and every four weeks thereafter, until the month of the completion of the true-up period, the following information for each Party with a commitment in Annex B for the first commitment period, indicating clearly the source of this information:

(a) Inventory data for each year of the commitment period;

(b) Total emissions over the commitment period;

(c) Total quantity of units held in Party holding accounts, cancellation accounts, and the retirement account;

5. *Further decides* that this information should include the total quantity of aggregated holdings in the clean development mechanism registry;

6. *Decides* that information on the review processes remaining to be completed should be provided by the secretariat together with the information referred to in paragraph 4 above, including information on which reviews are incomplete, which stage the incomplete review processes have reached, on what dates previous stages were completed and, to the extent possible, when outstanding stages are expected to be completed.

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Lima, 1–8 December 2014

Agenda item 7
Matters relating to the least developed countries

Matters relating to the least developed countries

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) took note of the report provided by the Chair of the Least Developed Countries Expert Group (LEG) on the implementation of the LEG work programme for 2014–2015.[1]

2. The SBI welcomed the report on the 26th meeting of the LEG,[2] held in Bonn, Germany, from 4 to 7 August 2014, and the outcome of the NAP Expo,[3] also held in Bonn, on 8 and 9 August 2014.

3. The SBI acknowledged the progress made by the LEG under its work programme for 2014–2015 and welcomed the launch of the regional training workshops on national adaptation plans for the least developed countries for 2014–2015.[4]

4. The SBI expressed its appreciation to the Governments of Belgium, Canada, Finland, Ireland and Norway for providing financial support for the work of the LEG.

5. The SBI noted with appreciation the contributions made by some Parties to the Least Developed Countries Fund (LDCF), with cumulative pledges amounting to USD 906.64 million as at 30 June 2014,[5] and encouraged other Parties also to contribute, recognizing the importance of supporting the full implementation of national adaptation programmes of action (NAPAs).

6. The SBI welcomed the submission of proposals to the LDCF for the funding of the implementation of NAPA projects. It noted with appreciation that, as at 30 June 2014, 48 countries had accessed USD 823.95 million for 146 projects to implement NAPAs.[6]

[1] The report is available at <http://unfccc.int/8500>.
[2] FCCC/SBI/2014/13.
[3] Information on the NAP Expo is available at <http://unfccc.int/8425>.
[4] Information on the workshops is available at <http://unfccc.int/6989.php#NAPs>.
[5] FCCC/CP/2014/2, annex, paragraph 110.
[6] As footnote 5 above.

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7. The SBI invited the Global Environment Facility to continue to explore ways of simplifying access to the LDCF.

8. The SBI took note of the preparations undertaken by the LEG for the meeting to take stock of its work referred to in decision 6/CP.16, paragraph 9(a).

9. The SBI requested that the LEG keep it informed of the efforts of the LEG in implementing its work programme for 2014–2015.

10. The SBI invited Parties in a position to do so to continue to provide support for the implementation of the LEG work programme.

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Agenda item 21
Closure of and report on the session

Draft report of the Subsidiary Body for Implementation on its forty-first session

Rapporteur: Ms. Mabafokeng F. Mahahabisa (Lesotho)

CONTENTS

(To be completed)

I. Opening of the session
(Agenda item 1)

1. The forty-first session of the Subsidiary Body for Implementation (SBI) was held at the United Nations Climate Change Conference Lima, Peru, from 1 to 8 December 2014.

2. The Chair of the SBI, Mr. Amena Yauvoli (Fiji), opened the session on Monday, 1 December, and welcomed all Parties and observers. He also welcomed Mr. Ilhomjon Rajabov (Tajikistan) as Vice-Chair of the SBI and Ms. Mabafokeng F. Mahahabisa (Lesotho) as Rapporteur.

II. Organizational matters
(Agenda item 2)

Adoption of the agenda
(Agenda item 2(a))

3. At its 1st meeting, on 1 December, the SBI considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBI/2014/9).

4. At the same meeting, the agenda was adopted as follows, with sub-item 4(a) held in abeyance and on the understanding that the issue of the membership of Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, as referred to the SBI by the Conference of the Parties, will be considered under agenda item 20 ("Other matters"):

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1. Opening of the session.
2. Organizational matters:
   (a) Adoption of the agenda;
   (b) Organization of the work of the session;
   (c) Multilateral assessment working group session under the international assessment and review process;
   (d) Election of officers other than the Chair.
3. Reporting from and review of Parties included in Annex I to the Convention:
   (a) Status of submission and review of sixth national communications and first biennial reports from Parties included in Annex I to the Convention;
   (b) Compilation and synthesis of sixth national communications and first biennial reports from Parties included in Annex I to the Convention;
   (c) Compilation and synthesis of supplementary information incorporated in sixth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol;
   (d) Revision of the "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications";
   (e) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2012;
   (f) Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2014.
4. Reporting from Parties not included in Annex I to the Convention:
   (a) Information contained in national communications from Parties not included in Annex I to the Convention;
   (b) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
   (c) Provision of financial and technical support.
5. Work programme to further the understanding of the diversity of nationally appropriate mitigation actions.
6. Matters relating to the mechanisms under the Kyoto Protocol:
   (a) Review of the modalities and procedures for the clean development mechanism;
   (b) Review of the joint implementation guidelines;
   (c) Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units;
   (d) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism;

(e) Report of the administrator of the international transaction log under the Kyoto Protocol.

7. Matters relating to the least developed countries.

8. Report of the Adaptation Committee.

9. National adaptation plans.

10. Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.

11. Matters relating to finance:

(a) Second review of the Adaptation Fund;

(b) Further guidance to the Least Developed Countries Fund.

12. Development and transfer of technologies and implementation of the Technology Mechanism:

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network;

(b) Poznan strategic programme on technology transfer.

13. Capacity-building:

(a) Capacity-building under the Convention;

(b) Capacity-building under the Kyoto Protocol.

14. Impact of the implementation of response measures:

(a) Forum and work programme;

(b) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol;

(c) Progress on the implementation of decision 1/CP.10.

15. The 2013–2015 review.

16. Gender and climate change.

17. Arrangements for intergovernmental meetings.

18. Administrative, financial and institutional matters:

(a) Audited financial statements for the biennium 2012–2013;

(b) Budget performance for the biennium 2014–2015.

19. Reports on other activities:

(a) Report on the expert meeting on an information hub for information on the results of the activities referred to in decision 1/CP.16, paragraph 70, and results-based payments;

(b) Summary report on the 2nd Dialogue on Article 6 of the Convention.

20. Other matters.

21. Closure of and report on the session.

III. Reports on agenda items 2(b) to 20

(To be completed)

IV. Closure of and report on the session

(Agenda item 21)

5. At its xx meeting, on xx December 2014, the SBI considered the draft report on its forty-first session (FCCC/SBI/2014/L.25). At the same meeting, on a proposal by the Chair, the SBI authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

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Agenda item 4(b)
Reporting from Parties not included in Annex I to the Convention
Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) noted the progress reports[1] of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) and the work programme of the CGE for the period 2014–2018.[2] The SBI commended the CGE for developing and implementing its work programme. It also thanked the Parties included in Annex I to the Convention[3] that have made financial contributions for the implementation of the work of the CGE in 2014.

2. The SBI welcomed the progress made by the CGE in implementing its activities, including the following:

(a) The compilation of information in a technical report[4] on problems and constraints, as well as lessons learned and best practices, in the process of and the preparation of national communications and biennial update reports (BURs) by Parties not included in Annex I to the Convention (non-Annex I Parties). The SBI encouraged the CGE to take into consideration the relevant elements identified in that report in the implementation of its work programme, as appropriate;

(b) The organization of two regional training workshops on the preparation of BURs from non-Annex I Parties.[5] The SBI expressed its gratitude to the Governments of Panama and Armenia for hosting the workshops. It encouraged the CGE to make every

[1] FCCC/SBI/2014/17, FCCC/SBI/2014/18, FCCC/SBI/2014/19, FCCC/SBI/2014/INF.15 and FCCC/SBI/2014/INF.16.
[2] FCCC/SBI/2014/17, annex I.
[3] Belgium, Canada, European Union and Japan.
[4] FCCC/SBI/2014/INF.16.
[5] The report on the two workshops is contained in document FCCC/SBI/2014/18.

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effort to conduct the remaining regional training workshop, for the African region, in the first quarter of 2015;

(c) The translation of the supplementary CGE training materials on the preparation of BURs into Arabic, French and Spanish. The SBI requested the secretariat to make arrangements, subject to the availability of financial resources, for the translation of the training materials into the other official United Nations languages in 2015;[6]

(d) The development of the CGE e-learning programme on the preparation of national communications from non-Annex I Parties, which is based on the updated CGE training materials.[7] The SBI encouraged non-Annex I Parties to make use of the e-learning programme and to encourage national experts to participate in the programme.

3. The SBI also welcomed the progress made in the development of the training programme for the team of technical experts undertaking the technical analysis of BURs under the international consultation and analysis process, and extended its appreciation to the experts who engaged in the peer review of the materials for the training programme.[8] It encouraged the CGE to finalize the training materials by the first quarter of 2015.

4. The SBI further welcomed the long-term strategy (2015–2018) of the CGE,[9] which outlines strategies to enhance the provision of technical assistance to non-Annex I Parties in a more effective, adequate and sustainable manner at the regional, subregional and national levels. The SBI noted that the strategy provides a good basis for the CGE to deliver its mandate in a comprehensive manner and encouraged the CGE to take into consideration the relevant elements of the strategy in revising and implementing its work programme.

5. The SBI, recalling decision 20/CP.19, paragraph 2, encouraged Parties to nominate, as early as possible, technical experts with the relevant qualifications to the UNFCCC roster of experts. It also encouraged the CGE to organize, as early as possible in 2015, training for the nominated technical experts, as requested in paragraph 4 of the same decision.

6. The SBI noted the plans of the CGE to initiate the revision of its updated training materials on vulnerability and adaptation assessments during the first half of 2015 and to organize the regional hands-on training workshops on vulnerability and adaptation assessments in the second half of 2015, subject to the availability of financial resources.

7. The SBI urged developed country Parties included in Annex II to the Convention and other developed country Parties in a position to do so to provide financial resources to enable the CGE to implement the activities planned in its work programme. In addition, it invited multilateral programmes and organizations to collaborate with the CGE, as appropriate, in the provision of technical support to non-Annex I Parties for preparing their national communications and BURs.

8. The SBI took note of the estimated budgetary implications of the activities to be undertaken by the CGE, with the assistance of the secretariat, including those referred to in paragraphs 2–6 above.[10]

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[6] The translated materials are available at <http://unfccc.int/7915.php>.

[7] The updated CGE training materials on the preparation of national communications are available at <http://unfccc.int/349.php>.

[8] The report on the workshop on the peer review of the materials for the training of the team of technical experts is contained in document FCCC/SBI/2014/19.

[9] The long-term strategy (2015–2018) of the CGE is contained in document FCCC/SBI/2014/INF.15.

[10] The estimated resource requirements to implement the CGE work programme for 2015–2018 are contained in annex II to document FCCC/SBI/2014/17.

9. It requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

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Agenda item 18(a) and (b)
Administrative, financial and institutional matters
Audited financial statements for the biennium 2012–2013
Budget performance for the biennium 2014–2015

Administrative, financial and institutional matters

Draft conclusions proposed by the Chair

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its forty-first session, recommended the following draft decision for consideration and adoption by the Conference of the Parties at its twentieth session:

Draft decision -/CP.20

Administrative, financial and institutional matters

The Conference of the Parties,

Recalling decision 27/CP.19, in which the programme budget for the biennium 2014–2015 was approved and the Executive Secretary was requested to report to the Conference of the Parties at its twentieth session on income and budget performance and to propose any adjustments that might be needed in the programme budget for the biennium 2014–2015,

Also recalling paragraph 11 of the financial procedures of the Conference of the Parties,[1]

Having considered the information contained in the documents prepared by the secretariat on administrative, financial and institutional matters,[2]

[1] Annex I to decision 15/CP.1.
[2] FCCC/SBI/2014/10, FCCC/SBI/2014/16 and Add.1 and 2 and FCCC/SBI/2014/INF.23.

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I. Audited financial statements for the biennium 2012–2013

1. *Takes note* of the audited financial statements for the biennium 2012–2013, the audit report of the United Nations Board of Auditors, which includes recommendations, and the comments of the secretariat thereon;

2. *Expresses its appreciation* to the United Nations for arranging the audits of the accounts of the Convention and for the valuable observations and recommendations of the auditors;

3. *Urges* the Executive Secretary to implement the recommendations of the auditors, as appropriate;

II. Budget performance report for the biennium 2014–2015

4. *Takes note* of the report on budget performance for the biennium 2014–2015 as at 30 June 2014 and the status of contributions as at 15 November 2014 to the trust funds administered by the secretariat;

5. *Expresses its appreciation* to Parties that made contributions to the core budget in a timely manner;

6. *Calls upon* Parties that have not yet made contributions to the core budget to do so without delay, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures of the Conference of the Parties;

7. *Expresses its appreciation* for the contributions received from Parties to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities;

8. *Urges* Parties to further contribute to the Trust Fund for Participation in the UNFCCC Process, in order to ensure the widest possible participation in the negotiations in 2015, and to the Trust Fund for Supplementary Activities;

9. *Reiterates* its appreciation to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522 as Host Government of the secretariat;

III. Programme budget for the biennium 2016–2017

10. *Requests* the Executive Secretary to submit, for consideration by the Subsidiary Body for Implementation at its forty-second session (June 2015), a proposed programme budget for the biennium 2016–2017;

11. *Also requests* the Executive Secretary, when preparing the programme budget for the biennium 2016–2017, to prepare a contingency for funding conference services,[3] should this prove necessary in the light of decisions taken by the General Assembly at its seventieth session;

12. *Further requests* the Subsidiary Body for Implementation to recommend, at its forty-second session, a programme budget for adoption by the Conference of the Parties at its twenty-first session and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session (November–December 2015);

[3] See document FCCC/SBI/2013/6, paragraphs 59–62, for an overview.

13. *Authorizes* the Executive Secretary to notify Parties of their indicative contributions for 2016 on the basis of the recommended budget.

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Agenda item 18(a) and (b)
Administrative, financial and institutional matters
Audited financial statements for the biennium 2012–2013
Budget performance for the biennium 2014–2015

Administrative, financial and institutional matters

Draft conclusions proposed by the Chair

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its forty-first session, recommended the following draft decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session:

Draft decision -/CMP.10

Administrative, financial and institutional matters

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling Article 13, paragraph 5, of the Kyoto Protocol,

Also recalling decision 27/CP.19, in particular paragraph 11,

Further recalling paragraph 11 of the financial procedures of the Conference of the Parties, which also applies to the Kyoto Protocol,[1]

Taking note of decision -/CP.20,[2]

Having considered the information contained in the documents prepared by the secretariat on administrative, financial and institutional matters,[3]

[1] Annex I to decision 15/CP.1.

[2] Draft decision proposed for adoption under agenda sub-items 18(a) and (b) of the Subsidiary Body for Implementation.

[3] FCCC/SBI/2014/10, FCCC/SBI/2014/16 and Add.1 and 2 and FCCC/SBI/2014/INF.23.

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I. Audited financial statements for the biennium 2012–2013

1. *Takes note* of the audited financial statements for the biennium 2012–2013, the audit report of the United Nations Board of Auditors, which includes recommendations, and the comments of the secretariat thereon;

2. *Expresses its appreciation* to the United Nations for arranging the audits of the accounts of the Convention and for the valuable observations and recommendations of the auditors;

3. *Urges* the Executive Secretary to implement the recommendations of the auditors, as appropriate;

II. Budget performance report for the biennium 2014–2015

4. *Takes note* of the report on budget performance for the biennium 2014–2015 as at 30 June 2014 and the status of contributions as at 15 November 2014 to the trust funds administered by the secretariat;

5. *Expresses its appreciation* to Parties that made contributions to the core budget and to the international transaction log[4] in a timely manner;

6. *Calls upon* Parties that have not yet made contributions to the core budget or to the international transaction log to do so without delay, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures of the Conference of the Parties;

7. *Expresses its appreciation* for the contributions received from Parties to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities;

8. *Urges* Parties to further contribute to the Trust Fund for Participation in the UNFCCC Process, in order to ensure the widest possible participation in the negotiations in 2015, and to the Trust Fund for Supplementary Activities;

9. *Reiterates* its appreciation to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522 as Host Government of the secretariat;

III. Programme budget for the biennium 2016–2017

10. *Requests* the Executive Secretary to submit, for consideration by the Subsidiary Body for Implementation at its forty-second session (June 2015), a proposed programme budget for the biennium 2016–2017;

11. *Also requests* the Executive Secretary, when preparing the programme budget for the biennium 2016–2017, to prepare a contingency for funding conference services,[5] should this prove necessary in the light of decisions taken by the General Assembly at its seventieth session;

12. *Further requests* the Subsidiary Body for Implementation to recommend, at its forty-second session, a programme budget for adoption by the Conference of the Parties at

[4] See document FCCC/SBI/2014/INF.23.

[5] See document FCCC/SBI/2013/6, paragraphs 59–62, for an overview.

its twenty-first session and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session (November–December 2015);

13. *Authorizes* the Executive Secretary to notify Parties of their indicative contributions for 2016 on the basis of the recommended budget.

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FCCC/SBI/2014/L.29

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Forty-first session
Lima, 1–8 December 2014

Agenda item 4(c)
Reporting from Parties not included in Annex I to the Convention
Provision of financial and technical support

Provision of financial and technical support

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) took note of the information provided by the secretariat of the Global Environment Facility (GEF) on the financial support provided by the GEF for the preparation of national communications and biennial update reports (BURs) by Parties not included in Annex I to the Convention (non-Annex I Parties).[1]

2. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approvals of funding and disbursement of funds. It also invited the GEF to continue providing information on an approximate date of completion of the draft national communications and an approximate date of submission to the secretariat of the national communications, for consideration by SBI 43 (November–December 2015).

3. The SBI further invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding, approvals of funding and disbursement of funds, as well as an approximate date of submission to the secretariat of the BURs, for consideration by SBI 42 (June 2015).

4. The SBI noted the information provided by the GEF, in its report to the Conference of the Parties (COP) at its twentieth session,[2] on the funding available to non-Annex I Parties for the preparation of their national communications and BURs under its latest replenishment, and on the total amount of funding available in its climate change focal area.

5. The SBI also noted that, by 4 December 2014, 1 non-Annex I Party had submitted its first BUR in accordance with decision 2/CP.17, paragraph 41(a), and that a further 7 non-Annex I Parties are expected to submit them by 31 December 2014. It further noted

[1] FCCC/SBI/2014/INF.22 and FCCC/CP/2014/2.
[2] FCCC/CP/2014/2.

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that, as at 1 December 2014, the GEF secretariat had received 48 requests from non-Annex I Parties for funds for the preparation of their BURs. In addition, the SBI noted that the GEF Council approved, in October 2014, a project for 35 small island developing States and least developed countries to complete their BURs.

6. Recalling decision 2/CP.17, paragraph 41(d), the SBI reiterated its urging of the non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner.[3] In addition, it encouraged GEF agencies to continue to facilitate the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.

7. The SBI invited the GEF to provide, in its report to COP 21 (November–December 2015), information on the procedures available to facilitate access by non-Annex I Parties to funding for the preparation of their national communications and multiple BURs with one application.

8. The SBI noted the progress made by the GEF in the operationalization of its Global Support Programme (GSP).[4] It encouraged non-Annex I Parties to take advantage of the opportunities for technical assistance and support available under the GSP.

9. The SBI welcomed the report[5] provided by the secretariat on the regional hands-on training workshops on the use of the national greenhouse gas inventory software for non-Annex I Parties, which were held in the period 2013–2014. Noting the need to train additional experts, it requested the secretariat to make all efforts to provide further relevant training, once the updated software has been made available in other United Nations languages.

10. The SBI noted with appreciation that, as at 4 December 2014, 147 initial, 105 second, 9 third, 1 fourth and 1 fifth national communications from non-Annex I Parties had been submitted. It also noted that, by the end of 2014, 20 second and 11 third national communications from non-Annex I Parties are expected to have been submitted.

11. The SBI took note of the estimated budgetary implications of the activities to be undertaken by the secretariat referred to in paragraph 9 above.

12. It requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

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[3] FCCC/SBI/2012/15, paragraph 53.

[4] A project administered jointly by the United Nations Development Programme and the United Nations Environment Programme with the objective of enhancing the support provided to non-Annex I Parties for the timely preparation of their national communications and BURs.

[5] FCCC/SBI/2014/INF.12.

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FCCC/SBI/2014/L.30

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Agenda item 3(c)
Reporting from and review of Parties included in Annex I to the Convention
Compilation and synthesis of supplementary information incorporated in sixth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol

Compilation and synthesis of supplementary information incorporated in sixth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol

Draft conclusions proposed by the Chair

The Subsidiary Body for Implementation welcomed the compilation and synthesis of supplementary information incorporated in sixth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol[1] and took note thereof.

[1] FCCC/SBI/2014/INF.21.

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Item 6(d) of the provisional agenda
Matters relating to the mechanisms under the Kyoto Protocol
Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) continued its discussion of the issue of procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism, in accordance with the mandate set out in decision 3/CMP.6, paragraph 18.

2. The SBI agreed to continue its consideration of this matter on the basis of, inter alia, the co-facilitators' draft text contained in document FCCC/SBI/2012/33/Add.1 at SBI 42 (June 2015), with a view to recommending a draft decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its twelfth session (November–December 2016).

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Agenda item 12(b)
Development and transfer of technologies and implementation of the Technology Mechanism
Poznan strategic programme on technology transfer

Poznan strategic programme on technology transfer

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) welcomed the report of the Global Environment Facility (GEF) on the progress made in carrying out the Poznan strategic programme on technology transfer.[1]

2. The SBI noted the consultations between the GEF and the Advisory Board of the Climate Technology Centre and Network (CTCN) and the progress made on aligning the implementation of the element of the Poznan strategic programme related to support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, which were carried out in response to an invitation from SBI 40.[2] It also noted the areas of collaboration[3] between the regional technology transfer and finance centres supported by the GEF under the Poznan strategic programme and the CTCN and invited the GEF to report on this collaboration as part of its future progress reports.

3. The SBI noted that the Technology Executive Committee (TEC), in response to an invitation from SBI 40[4] to evaluate the Poznan strategic programme, initiated its deliberations on this matter at its 9th meeting, held in Bonn, Germany, in August 2014.[5] The SBI also noted that the TEC will undertake the evaluation of the Poznan strategic programme in 2015, guided by the terms of reference to be developed by its task force on this matter. It invited the TEC to provide an interim report on its preliminary findings to SBI 42 (June 2015) and a final report to the Conference of the Parties through SBI 43 (November–December 2015).

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[1] FCCC/CP/2014/2, annex, part II.4, and FCCC/CP/2014/2/Add.1.
[2] FCCC/SBI/2014/8, paragraph 141.
[3] FCCC/CP/2014/2/Add.1, annex, paragraph 14.
[4] FCCC/SBI/2014/8, paragraph 142.
[5] FCCC/SB/2014/3, paragraph 50.

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Agenda item 6(c)
Matters relating to the mechanisms under the Kyoto Protocol
Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units

Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) continued its consideration of modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units, in accordance with decision 1/CMP.8, paragraph 16.

2. The SBI took note with appreciation of the technical paper prepared by the secretariat concerning the technical implications for the processes and systems under the Kyoto Protocol,[1] arising from the draft modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units contained in the annex to document FCCC/SBI/2014/L.9.

3. The SBI was unable to conclude discussions, and agreed to continue discussing this matter at SBI 42 (June 2015).

[1] FCCC/TP/2014/4.

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Agenda item 6(b)
Matters relating to the mechanisms under the Kyoto Protocol
Review of the joint implementation guidelines

Review of the joint implementation guidelines

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) continued its consideration of the review of the guidelines for the implementation of Article 6 of the Kyoto Protocol[1] (joint implementation guidelines), in accordance with decision 6/CMP.8, paragraphs 14–16.

2. The SBI agreed to continue its consideration of this matter at SBI 42 (June 2015), on the basis of the draft decision text and its appendix proposed by the co-facilitators of the informal consultations on this agenda sub-item and contained in the annex, with a view to recommending a draft decision on the matter for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session (November–December 2015).

[1] Annex to decision 9/CMP.1

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Annex

[English only]

Draft decision -/CMP.11

[The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling the provisions of Articles 3 and 6 of the Kyoto Protocol and decision 1/CMP.6,

Cognizant of decision 9/CMP.1 and subsequent guidance provided by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol relating to joint implementation,

[PLACEHOLDER: *Recalling* that only Parties that have commitments inscribed in the third column of Annex B to the Kyoto Protocol and that have deposited their instruments of acceptance to the second commitment period and have satisfied X/CMP.10 requirements relating to calculation and recording of assigned amount, and that have completed the necessary cancellations for the first commitment period and that the second commitment period accounting may generate, transfer and acquire emission reduction units valid for the second commitment period,]

1. *Takes note with appreciation* of the recommendations of the Joint Implementation Supervisory Committee regarding the review of the guidelines for the implementation of Article 6 of the Kyoto Protocol, contained in the annex to decision 9/CMP.1 (hereinafter referred to as the joint implementation guidelines);

2. *Also takes note with appreciation* of the submissions from Parties, intergovernmental organizations and admitted observer organizations on possible ways in which decision 9/CMP.1 and other relevant decisions of the Conference of the Parties serving as the meeting of the Parties should be revised, as well as the compilation report prepared by the secretariat summarizing those submissions;

3. *Decides* to adopt the modalities and procedures for the implementation of Article 6 of the Kyoto Protocol "joint implementation" (hereinafter referred to as the joint implementation modalities and procedures) contained in the appendix;

4. [PLACEHOLDER: for amendments (seats, quorum, voting and term) to the rules of procedure of the JISC if membership changes in the appendix.]

5. *Also decides* that decisions of the Conference of the Parties serving as the meeting of the Parties relating to joint implementation, but excluding the annex to decision 9/CMP.1 [and excluding decision 7/CMP.9], shall apply mutatis mutandis to joint implementation under the joint implementation modalities and procedures, where those decisions are still applicable;

6. *Requests* the Joint Implementation Supervisory Committee, Parties included in Annex I and the secretariat to take the necessary measures to facilitate the implementation of this decision;

7. *Also requests* the Joint Implementation Supervisory Committee to make recommendations to the Conference of the Parties serving as the meeting of the Parties at its eleventh session (November–December 2015) on necessary changes to:

(a) The rules of procedure as adopted by decision 2/CMP.2;

(b) Other decisions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol relating to joint implementation;

8. *Decides* that the joint implementation modalities and procedures shall become effective upon their adoption;

8bis. *Also decides* that the joint implementation guidelines shall cease to be valid 12 months from the date of adoption of the joint implementation modalities and procedures (hereinafter referred to as the transition period);

9. *Decides* to allow the registration under the joint implementation modalities and procedures of existing projects determined in accordance with paragraph 23 of the annex to decision 9/CMP.1 (hereinafter referred to as joint implementation Track 1), where the project participants and the respective host Party agree to continue the project, subject to updating the baseline and monitoring plans of the project and meeting other requirements under the joint implementation modalities and procedures;

9bis. *Decides* to allow the registration under the joint implementation modalities and procedures of existing projects determined in accordance with paragraphs 30–45 of the annex to decision 9/CMP.1 (hereinafter referred to as joint implementation Track 2), where the project participants and the respective host Parties agree to continue the project;

9ter. *Requests* the Joint Implementation Supervisory Committee to develop as soon as possible the necessary procedures to operationalize paragraphs 9 and 9 bis above;

9quater. *Decides* that no new projects may be submitted under either joint implementation Track 1 or Track 2 from the date of adoption of the joint implementation modalities and procedures;

10. *Also decides* that, from the end of the transition period, the joint implementation modalities and procedures shall apply to all new projects and projects registered under the joint implementation modalities and procedures in accordance with paragraphs 9 and 9 bis above;

11. *Further decides* that all independent entities accredited in accordance with the annex to decision 9/CMP.1 at the time of the adoption of the joint implementation modalities and procedures shall be deemed provisionally accredited under the joint implementation modalities and procedures, in anticipation of their request for reaccreditation for the period thereafter;

11bis. *Requests* the Joint Implementation Supervisory Committee to elaborate standards and procedures for accrediting and reaccrediting the independent entities referred to in paragraph 11 above;

12. *Decides* that, from the date of adoption of the joint implementation modalities and procedures, all accreditation activities shall be performed under the joint implementation modalities and procedures;

13. *Requests* the Joint Implementation Supervisory Committee to ensure a smooth transition from the joint implementation guidelines to the joint implementation modalities and procedures, and to report back to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its twelfth session (November–December 2016) on the transition;

14. *Also requests* the Joint implementation Supervisory Committee to put in place a transparent and fair system for collecting and managing fees under the joint implementation modalities and procedures to ensure that the Joint Implementation Supervisory Committee continues to be self-financing;

15. *Encourages* Parties included in Annex I to make contributions to the Trust Fund for Supplementary Activities for funding the work on joint implementation, which would ensure the thorough and timely transition from the joint implementation guidelines to the joint implementation modalities and procedures.]

Appendix

Modalities and procedures for the implementation of Article 6 of the Kyoto Protocol "joint implementation"

I. Definitions

1. For the purpose of the modalities and procedures for joint implementation contained in this document, the definitions contained in the Kyoto Protocol shall apply.

2. An "emission reduction unit" (ERU) is a unit issued pursuant to Article 6 of the Kyoto Protocol and requirements under the Kyoto Protocol, including the relevant provisions of these modalities and procedures as amended or replaced from time to time, and is equal to one metric tonne of carbon dioxide equivalent, calculated using global warming potentials defined by decision 2/CP.3 or as subsequently revised in accordance with Article 5.

3. "Joint implementation" (JI) refers to the mechanism defined in Article 6 of the Kyoto Protocol.

4. A "joint implementation activity" (JI activity) is an activity pursuant to Article 6 of the Kyoto Protocol that reduces anthropogenic emissions of greenhouse gases (GHGs) by sources or enhances anthropogenic removals of GHGs by sinks.

II. Role of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

5. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) shall exercise authority over, and provide guidance to, the Joint Implementation Supervisory Committee (hereinafter referred to as JISC).

III. Joint Implementation Supervisory Committee

A. Functions

6. The JISC shall supervise JI, under the authority and guidance of the CMP, to which it shall be accountable.

7. The JISC shall elaborate its rules of procedure for consideration by the CMP and subsequently recommending to the CMP any revisions to such rules.

8. The JISC shall also set minimum technical requirements for JI activities, utilizing UNFCCC-approved methodologies, such as under the clean development mechanism (CDM), after collaboration with host Parties, stakeholders and, as appropriate, the CDM Executive Board, that ensure the additionality of emission reductions and quality assurance, quality control and consistency of their measurement.

9. The technical requirements referred to in paragraph 8 above shall provide criteria for the demonstration of additionality, through ensuring prior consideration of JI for proposed JI activities, the use of positive lists, performance benchmarks and financial return

benchmarks, and the objective demonstration of barriers ensuring that positive lists are applied only in areas where there is low risk of non-additonality.

10. The technical requirements referred to in paragraph 8 above shall include the use of UNFCCC-approved methodologies, such as under the CDM, and shall also provide objective criteria for the establishment of baselines, including standardized baselines, that reasonably represent the anthropogenic emissions by sources or anthropogenic removals by sinks that would occur in the absence of the proposed JI activity, which:

(a) Ensure that the baseline is lower than the relevant current emission levels;

(b) Shall be established on a project-specific, programmatic or sectoral basis;

(c) Ensure transparency with regard to the choice of approaches, assumptions, methodologies, parameters, data sources and key factors;

(d) Fully account for relevant existing national and/or sectoral policies, plans and circumstances, such as sectoral reform initiatives, local fuel availability, power sector expansion plans and the economic situation in the sector of the activity sector;

(e) Ensure that ERUs cannot be issued for decreases in activity levels outside of the JI activity or due to force majeure;

(f) Take uncertainties into account and use conservative assumptions, inter alia, by using benchmarking concepts.

11. The technical requirements referred to in paragraph 8 above shall also:

(a) Define the criteria for the inclusion of potential emission sources within a project boundary and the requirements for the accuracy of their monitoring and reporting;

(b) [Option 1: [Allow for] [Ensure] net atmospheric benefits, inter alia, through the cancellation of ERUs [on a voluntary basis].] [Option 2: Ensure net atmospheric benefits, through an automatic [10] per cent share cancelled for the benefit of the environment.] [Option 3: Provide for [net atmospheric benefits], [inter alia,] through [reduced issuance of ERUs or] cancellation of ERUs [on a voluntary basis] and assist the host Party in achieving its QELRC for the purpose of meeting its commitment under Article 3 of the Kyoto Protocol through the reduced issuance of ERUs.] [Option 4: Include options for incorporating mitigation ambition going beyond the benefit of the host party, such as voluntary cancellation and discounting, and elaborate transparent criteria for the application and quantification of such increased mitigation ambition.]

12. The JISC shall further set minimum requirements to facilitate the development of project cycle procedures by host Parties which ensure provisions in relation to the transparency of decision-making processes, local stakeholder consultation and rights for directly affected entities to hearings prior to decision-making, timely decisions and appeals of decisions.

13. The JISC shall also be responsible for, inter alia, the following:

(a) Facilitating the sharing and dissemination of recommendations and best practices for the operationalization of JI among Parties in a collaborative manner;

(b) Accrediting independent entities;

(c) Providing oversight in the issuance of ERUs by host Parties;

(d) Establishing procedures for the collection of fees to cover the administrative costs of the JISC and its support structure;

(e) [Assessing the conformity of [the implementation of JI by] [the [establishment and] implementation of the national regulatory framework for JI in] host

Parties with these modalities and procedures and the minimum requirements and procedures referred to in paragraph [8 above] [31 below] through the initial assessment of implementation by Parties followed by regular assessments to monitor ongoing implementation;]

(f) Undertaking reviews of [randomly] selected JI activities as set out in paragraphs 48 and 56 below and, where appropriate, [[withholding] [declining to register and endorse] the process of issuance of ERUs];

(g) Promoting awareness of JI;

(h) Reporting on its activities to each session of the CMP;

(i) Performing any other functions assigned to it by the CMP.

B. Membership

[Option 1:

14. The JISC shall comprise 10 [11] members from Parties to the Kyoto Protocol, as follows:

(a) Three members from Parties included in Annex I that are undergoing the process of transition to a market economy;

(b) Three members from Parties included in Annex I not referred to in subparagraph (a) above;

(c) Three members from Parties not included in Annex I;

(d) One member from the small island developing States;

(e) [One member from the least developed countries.]

15. Members shall be nominated by the relevant constituencies referred to in paragraph 14 above and be elected by the CMP. The CMP shall also elect an alternate member for each member of the JISC based on the criteria in paragraph 14 above. The nomination by a constituency of a candidate member shall be accompanied by a nomination of a candidate alternate member from the same constituency.

[15bis. For the first year of operation of the JISC, the CMP shall elect to the JISC five members and five alternate members for a term of two years and five members and five alternate members for a term of one year. Members and alternate members for the initial one-year term shall be selected proportionately from the four groups referred to in paragraph 14 above. Thereafter, the CMP shall elect every year, five new members and five new alternate members for a term of two years. Members and alternate members shall remain in office until their successors have been elected.]]

[Option 2:

14. The JISC shall comprise 20 [22] members from Parties to the Kyoto Protocol, as follows:

(a) Six members from Parties included in Annex I that are undergoing the process of transition to a market economy;

(b) Six members from Parties included in Annex I not referred to in subparagraph (a) above;

(c) Six members from Parties not included in Annex I;

(d) Two members from small island developing States;

(e) [Two members from the least developed countries.]

15. Members shall be nominated by the relevant constituencies referred to in paragraph 14 above and be elected by the CMP. For the first year of operation of the JISC, the CMP shall elect to the JISC 10 [11] members for a term of two years and 10 [11] members for a term of one year. Members for the initial one-year term shall be selected proportionately from the four [five] groups referred to in paragraph 14 above. Thereafter, the CMP shall elect every year 10 [11] new members for a term of two years. Members shall remain in office until their successors have been elected.]

16. Members shall be eligible to serve a maximum of three consecutive terms.

17. The JISC shall elect a chair and vice-chair annually from among its members.

18. The JISC shall meet at least twice each year.

19. Each member shall:

(a) Serve in his or her personal capacity;

(b) Have experience and competence in developing policy and strategy within regulatory processes, including in the development or implementation of GHG market mechanisms, and shall possess an understanding of business perspectives regarding investment in the environmental field;

(c) Refrain from participating in the consideration of and decision-making on subjects in relation to which he or she has a real or perceived conflict of interest, including in relation to specific host Parties or JI activities;

(d) Subject to his or her responsibility to the JISC, not disclose any confidential or proprietary information coming to his or her knowledge by reason of his or her duties for the JISC, either during or after his or her term of office on the JISC;

(e) Be bound by the rules of procedure of the JISC;

(f) Take a written oath of service witnessed by the UNFCCC Executive Secretary or his or her authorized representative before the member assumes his or her duties.

20. The JISC may suspend and recommend to the CMP the termination of the membership of a member on certain grounds, including, inter alia, a breach of conflict of interest provisions, a breach of confidentiality provisions or a failure to attend two consecutive meetings of the JISC without proper justification.

21. If a member of the JISC resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the JISC may, bearing in mind the proximity of the next session of the CMP, appoint another member nominated by the same constituency to replace said member for the remainder of that member's term.

22. At least two thirds of the members of the JISC representing a majority of members from Parties included in Annex I and a majority of members from Parties not included in Annex I must be present to constitute a quorum.

C. Procedures

23. Decisions of the JISC shall be taken by consensus, whenever possible. If all efforts to reach consensus have been exhausted and no agreement has been reached, decisions shall as a last resort be adopted by a two-thirds majority vote of the members present and voting at the meeting. Members abstaining from voting shall be considered as not voting.

24. The full text of all decisions of the JISC shall be made publicly available.

25. The working language of the JISC shall be English.

26. The JISC shall conduct its work in such a way as to ensure the transparency and impartiality of its processes and decision-making and to safeguard itself from any conflict of interest.

27. Meetings of the JISC shall be open to attendance, as observers, by all Parties and UNFCCC-admitted observer organizations and stakeholders, except where otherwise decided by the JISC on the grounds of confidentiality.

28. The JISC may delegate functions to the secretariat and to panels, set up by the JISC to support its work, and otherwise draw on technical expertise to perform its functions.

29. Information obtained from activity participants marked as proprietary or confidential shall not be disclosed without the written consent of the provider of the information, except as required by applicable national law of the host Party. Information used to determine whether reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks are additional, describe the baseline methodology and its application, and/or support an environmental impact assessment shall not be considered proprietary or confidential.

30. The secretariat shall service the JISC and its panels.

IV. Host Parties

31. A Party participating in JI shall make publicly available and maintain, in an up-to-date manner, the following:

(a) The contact details of the designated focal point responsible for approving baselines and registering JI activities hosted by the Party;

(b) Its national standards, procedures and guidelines for all aspects of its implementation of JI and the periods of time in which decisions are to be taken by the designated focal point;

(c) Its national procedures for appealing decisions, in accordance with national legislation, by the designated focal point regarding the registration of JI activities;

(d) An annual summary of its activities in relation to JI.

32. A Party participating in JI shall provide to the secretariat, in English, the information specified in paragraph 31 above within 90 days of the adoption, revision or update thereof.

33. The host Party may, on voluntary basis, determine that a lower amount of ERUs shall be issued than the actual reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks achieved by a JI activity. Any such arrangements should be elaborated in the host Party's national requirements and procedures and shall be indicated prior to the registration of the JI activity, identified as a condition for the activity's registration, made publicly available through the secretariat and taken into account and explained by the accredited independent entity during the verification.

34. Baselines for projects using standardized or sectoral baselines shall be reviewed periodically and updated according to transparent criteria established ex ante. Once national standardized or sectoral baselines have been approved, their use shall be mandatory for new projects. However, where existing project-specific (bottom-up) baselines are more ambitious than standardized baselines, bottom-up approaches shall continue to be applied. [Standardized baselines shall be developed by host Parties based on internationally agreed methodologies. These shall be evaluated by an accredited independent entity and submitted to the JISC for its approval. The JISC shall develop criteria for the periodic update of standardized baselines.]

35. A Party participating in JI shall make publicly available, through the secretariat, information on all baselines that it has approved and activities that it has registered or that it has under consideration for approval or registration.

36. A Party, identified by the JISC in accordance with paragraph 13(e) above as not being in conformity with the mandatory requirements and procedures of JI, shall immediately take action to rectify the identified non-conformities and shall provide written evidence to the JISC to demonstrate that the identified non-conformities have been rectified. The JISC shall make such evidence publicly available (subject to confidentiality). The JISC elaborate the procedure to rectify non-conformities that have resulted in the transfer of excess of ERUs, assessing options to assign liability for excess issuance for consideration by the CMP.

37. A Party may authorize legal entities to participate in JI activities. The Party shall remain responsible for the fulfillment of its obligations under the Kyoto Protocol and shall ensure that such participation is consistent with these modalities and procedures and the mandatory standards and procedures referred to in paragraph 8 above. Legal entities may only transfer or acquire ERUs if the authorizing Party is eligible to do so at that time.

V. Eligibility to transfer and acquire

38. [[Only] [A Party included in Annex I with a commitment inscribed in the third column of Annex B to the Kyoto Protocol as contained in the annex to decision 1/CMP.8 (the Doha Amendment), [that has deposited its instrument of acceptance of the Doha Amendment in accordance with Article 21 paragraph 7, and Article 20 paragraph 4 of the Kyoto Protocol,] whose eligibility has been established in accordance with paragraph 3 of the annex to decision 11/CMP.1 in the first commitment period, [and who has satisfied the requirements contained in decisions 1/CMP.8, 2/CMP.8 and X/CMP.10] [or in accordance with the provisions of decision 7/CMP.9] is eligible to transfer and acquire ERUs valid for the second commitment period under Article 17 of the Kyoto Protocol, subject to paragraph 3(b) of the annex to decision 11/CMP.1.] [PLACEHOLDER: or alternative/additional language to adequately reaffirm that legally-binding commitments for the second commitment period must be in place prior to transfer/acquisition of ERUs, conversion of AAUs/RMUs to ERUs for the second commitment period, and that requirements of 1/CMP.8, 2/CMP.8, X/CMP.10, etc. related to reports to facilitate assigned amount for the second commitment period, their review, and related cancellations for the first commitment period and second commitment period, 3.7ter, etc. have been completed.]

VI. Accreditation of independent entities

39. [The JISC, in elaborating standards and procedures for accrediting independent entities shall ensure that such standards cover, inter alia, the following areas:

(a) The ability of the entity to assume obligations under national or international laws;

(b) Legal and financial liabilities;

(c) Management and decision-making structure;

(d) Competence;

(e) Validation and verification processes, taking into account the principles of materiality;

(f) Impartiality and prevention of conflict of interest;

(g) Safeguarding confidentiality;

(h) Appeals and complaints processes.]

40. [PLACEHOLDER: Pending adoption of the CMP decision on accreditation synergy.] [The JISC, in elaborating standards and procedures for accrediting independent entities, shall ensure that its work is aligned with the development of a single regulatory framework for accreditation under decision X/CMP.10 (reference to the draft decision of June 2014 contained in document SBI/2014/L.4/Add.1).]

VII. Project cycle

A. Design of a joint implementation activity

41. A JI activity shall demonstrate that its implementation would result in real, measurable and additional reductions of anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks and that these reductions would not have been implemented in the absence of the JI mechanism.

42. JI activity participants shall prepare and submit to an accredited independent entity an activity design document that contains all of the information needed for validating that the activity complies with the applicable requirements established by these modalities and procedures and additional requirements elaborated by the JISC and those of the host Party. This information shall include but not be limited to:

(a) Documentation on environmental impact assessment reports of the JI activities, including transboundary impacts, in accordance with procedures as developed by the host Party, and, if those impacts are considered significant by the JI activity participant or the host Party, have undertaken an environment impact assessment in accordance with procedures that are required by the host Party;

(b) Documentation on how input from local stakeholders was invited and taken into account.

43. JI activity participants shall select a crediting period for the activity that shall not exceed [7] [10] years. The crediting period shall not start earlier than the submission of activity documentation to the [accredited independent entity] [secretariat] in accordance with paragraph [44 below] [46 below]. The crediting period may be renewed for periods of up to [7] [10] years, provided that, for each renewal, an accredited independent entity validates that the activity baseline is still accurate or has been updated taking new data into account, where applicable [, and that the activity is still additional, in accordance with the technical requirements referred to in paragraph 9 above]. [Once the current commitment period pursuant to Article 3 of the Kyoto Protocol has expired, the crediting period shall end, except if JI is continued on the basis of a decision to be adopted by the CMP.][JI

activity participants shall select a crediting period for the activity. The crediting period shall not start before the submission of activity documentation to the secretariat in accordance with paragraph 45 below. The crediting period shall end at the latest upon expiry of the commitment period corresponding to the date of registration or crediting period renewal. The crediting period may be renewed for one commitment period, provided that, for the renewal, an accredited independent entity validates that the activity baseline is still accurate or has been updated taking new data into account, where applicable, and that the activity is still additional, in accordance with the technical requirements referred to in paragraph 9 above.]

B. Validation of the joint implementation activity

44. The accredited independent entity shall make the activity design document publicly available through the secretariat, subject to the confidentiality provisions set out in paragraph 29 above, and shall invite comments from Parties and stakeholders on the activity design document and any supporting information for 30 days from the date that the activity design document is made publicly available.

45. An accredited independent entity shall validate that an activity meets the relevant requirements of Article 6 of the Kyoto Protocol, these modalities and procedures and any additional guidance provided by the JISC and, as applicable, by the host Party.

46. The accredited independent entity shall make its validation report publicly available through the secretariat, together with an explanation and justification of its findings, including a summary of the stakeholder comments received and a report on how due account was taken of those comments.

C. Registration and recording of the joint implementation activity

47. The host Party may register the activity if it meets all of the requirements set out in these modalities and procedures and any additional or elaborated standards developed by the JISC and, as applicable, by the host Party. The host Party shall decide whether to register the activity and shall make its decision publicly available through the secretariat. Registration is considered the formal approval of the respective joint implementation activity by the host Party. If the host Party decides not to register a proposed JI activity, it shall make the reasons for its decision publicly available through the secretariat.

48. Upon receipt of the notice of registration from the host Party, the secretariat shall record the registered JI activity with a unique and publicly available identifier, unless the JISC requests a review according to its rules and procedures within 30 days.

49. [Any post-registration changes are deemed final unless the JISC requests a review in accordance with its rules and procedures within 30 days.]

D. Monitoring of emission reductions and removals

50. JI activity participants shall monitor reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred in accordance with the monitoring plan of the registered activity and shall prepare a monitoring report.

51. JI activity participants shall submit the monitoring report to an accredited independent entity, which shall make the monitoring report publicly available through the secretariat.

52. Revisions, if any, to the monitoring plan shall not reduce the accuracy and/or completeness of the monitoring, shall be justified by the JI activity participants and shall be verified by an accredited independent entity in accordance with paragraph 53 below.

E. Verification of emission reductions and removals

53. The accredited independent entity shall, upon receipt of the monitoring report, verify that the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by the activity participants have been conducted in accordance with these modalities and procedures, as further elaborated by the JISC and, as applicable, by the host Party.

54. The accredited independent entity shall make its verification publicly available through the secretariat, together with an explanation and justification of its verification opinion.

55. The host Party shall accept the verification if it meets all of the requirements set out in these modalities and procedures and any additional or elaborated standards developed by the JISC and, as applicable, by the host Party. The host Party shall decide whether to accept the verification and shall submit a notification of acceptance to the JISC through the secretariat.

F. Issuance of emission reduction units

56. The JISC shall, 30 days after receipt of the notice of acceptance from the host Party, be deemed to have endorsed the issuance of ERUs on the basis of the verification of reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks and inform the host Party accordingly, unless the JISC requests a review according to its rules and procedures.

57. Subsequent to the endorsement by the JISC, the host Party shall expeditiously issue ERUs equivalent to the verified reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks achieved by the activity, taking into account paragraph 33 above.

58. [Upon such issuance, the national registry administrator shall promptly forward two per cent of the issued ERUs, being the share of proceeds to assist developing country Parties that are particularly vulnerable to the adverse effects of climate change in meeting the costs of adaptation) to an account designated by the Board of the Adaptation Fund.]

G. Appeals of decisions taken by the JISC

59. Any decision taken by the JISC in accordance with these modalities and procedures may be subject to appeal by affected stakeholders, in accordance with provisions to be determined by the CMP.

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Agenda item 6(a)
Matters relating to the mechanisms under the Kyoto Protocol
Review of the modalities and procedures for the clean development mechanism

Review of the modalities and procedures for the clean development mechanism

Draft conclusions proposed by the Chair

1. In accordance with decision 4/CMP.9, the Subsidiary Body for Implementation (SBI) continued its consideration of possible changes to the modalities and procedures for the clean development mechanism (CDM).

2. The SBI took note of the technical paper[1] on possible changes to the CDM modalities and procedures, prepared by the secretariat in accordance with decision 4/CMP.9, and of the views[2] submitted by Parties related to this agenda item.

3. The SBI agreed to continue its consideration of this matter at SBI 42 (June 2015).

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[1] FCCC/TP/2014/1.

[2] Submissions made in 2013 are compiled in document FCCC/SBI/2013/MISC.1 and Add.1, while submissions made in 2014 (for SBI 40 and SBI 41) are available at <http://www4.unfccc.int/submissions/SitePages/sessions.aspx?showOnlyCurrentCalls=1&populateData=1&expectedsubmissionfrom=Parties&focalBodies=SBI>.

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Agenda item 5
Work programme to further the understanding of the diversity of nationally appropriate mitigation actions

Work programme to further the understanding of the diversity of nationally appropriate mitigation actions

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) concluded the work programme to further the understanding of the diversity of nationally appropriate mitigation actions (NAMAs), established pursuant to decision 1/CP.18, paragraph 19 (hereinafter referred to as the work programme).

2. The SBI noted the activities implemented during the work programme:

(a) The compilation of information on NAMAs to be implemented by developing country Parties;[1]

(b) The in-session workshops held at SBI 39 and SBI 40 and the reports thereon;[2]

(c) The first and second reports on the extent of the matching of mitigation actions with financial, technical and capacity-building support under the NAMA registry;[3]

(d) The annual regional capacity-building workshops to facilitate the preparation and implementation of NAMAs.[4]

3. The SBI acknowledged that information and experiences shared under the work programme, both on NAMAs and on the provision of support for NAMAs, furthered the understanding of the diversity of NAMAs.

4. The SBI also acknowledged the value of information relating to NAMAs, as specified in decision 2/CP.17, paragraphs 33 and 34, including underlying assumptions and methodologies, sectors and gases covered, global warming potential values used and estimated mitigation outcomes, provided under the work programme.

[1] FCCC/SBI/2013/INF.12/Rev.2.
[2] FCCC/SBI/2014/INF.1 and FCCC/SBI/2014/INF.11.
[3] FCCC/SBI/2014/INF.10 and FCCC/SBI/2014/INF.24.
[4] Available at <http://unfccc.int/focus/mitigation/items/7429.php>.

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5. The SBI recognized the existence of needs for financial, technology and capacity-building support for the preparation and implementation of specific measurable, reportable and verifiable NAMAs, as well as of support available and provided, access modalities and related experience gained.

6. The SBI acknowledged that the matching of mitigation actions with financial, technology and capacity-building support in the NAMA registry has started to occur.[5]

7. The SBI requested the secretariat to ensure the sound operation of the NAMA registry, with a view to facilitating both the recording of information on NAMAs and support for them, as well as the matching of NAMAs with support.

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[5] FCCC/SBI/2014/INF.24.

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Agenda item 17
Arrangements for intergovernmental meetings

Arrangements for intergovernmental meetings

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) welcomed the views of Parties on the frequency and organization of sessions of the Conference of the Parties (COP), the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and their subsidiary bodies.

2. The SBI also welcomed the views of Parties on adjusting the timing of the election of the President of such sessions and options for strengthening coordination between Presidencies.

3. The SBI requested that the secretariat provide further information and analysis on the options for the frequency and organization of sessions outlined in document FCCC/SBI/2014/11. The SBI recommended that this information be further considered at SBI 42 (June 2015).

4. With regard to the frequency and organization of sessions, including the organization of the high-level segment, the SBI noted the views of some Parties on the need to examine the implications of possibly shifting to an implementation mode of work in the intergovernmental process, as well as high-level participation. The SBI recognized the need to take into account the important role of implementation in work after 2015. Furthermore, it noted that additional consideration should be given to enhance coherence between the outcome of COP 21/CMP 11 and organizational arrangements.

5. The SBI recognized the need to take into consideration and further analyse the implications of hosting the COP and CMP sessions, noting that it is a major undertaking and also noting the views of Parties that sessions held at the seat of the secretariat could increase the opportunity for all Parties to serve as President of the COP and the CMP.

6. The SBI proposed that further consideration be given to the organization of high-level segments at future COP and CMP sessions, keeping in mind that ministers should be engaged when political leadership is needed and the considerable resource implications related to their participation. The SBI further proposed the consideration of alternative

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modes of ministerial engagement during the high-level segment, including those outlined in document FCCC/SBI/2014/11.

7. The SBI requested the secretariat to provide further information on the scenarios outlined in document FCCC/SBI/2014/11 for consideration at SBI 42. The information should include clarification on the budgetary implications of:

(a) Biennial sessions of the COP and the CMP as well as meetings of their subsidiary bodies;

(b) Sessions alternating between a host country and the seat of the secretariat.

8. The information should also outline the implications for the Headquarters Agreement of the secretariat for sessions at venues alternating every year between a host country and the seat of the secretariat.

9. The SBI requested that the secretariat provide further information on and analysis of the options for adjusting the timing of the election of the President, as outlined in document FCCC/SBI/2014/12, including on the rotation of the Presidencies when sessions alternate between a host country and the seat of the secretariat. The SBI agreed to consider this information at SBI 42.

10. With regard to adjusting the timing of the election of the President, the SBI highlighted that the importance of a smooth transition between current and future Presidencies should be in kept in mind for future considerations.

11. The SBI reaffirmed the conclusions as contained in document FCCC/SBI/2014/8, paragraphs 218–221.

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Agenda item 11(b)
Matters relating to finance
Further guidance to the Least Developed Countries Fund

Further guidance to the Least Developed Countries Fund

Draft conclusions proposed by the Chair

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its forty-first session, recommended the following draft decision for consideration and adoption by the Conference of the Parties at its twentieth session:

Draft decision -/CP.20

Further guidance to the Least Developed Countries Fund

The Conference of the Parties,

Recognizing the specific needs and special circumstances of the least developed countries, as referred to in Article 4, paragraph 9, of the Convention,

Recalling decisions 6/CP.9, 3/CP.11, 5/CP.14, 5/CP.16, 9/CP.17 and 10/CP.18,

Also recalling the least developed countries work programme, as defined in decision 5/CP.7,

1. *Welcomes* the increased allocation and disbursement of funds to least developed country Parties under the Least Developed Countries Fund;

2. *Notes* with appreciation the additional contributions made by Parties to the Least Developed Countries Fund;

3. *Notes* the report of the Global Environment Facility to the Conference of the Parties at its twentieth session;[1] and the synthesis report prepared by the secretariat on the progress

[1] FCCC/CP/2014/2.

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made in the implementation of the remaining elements of the least developed countries work programme;[2]

4. *Also notes* the submission from a Party on behalf of a group of Parties on information on experiences with the implementation of the remaining elements of the least developed countries work programme;[3]

5. *Further notes* that the Least Developed Countries Fund has financed the preparation of 51 national adaptation programmes of action, of which 50 have been completed, and has approved the funding for 159 national adaptation programmes of action implementation projects and for programmes in 48 least developed countries (as at 3 December 2014);

6. *Encourages* developed country Parties and other Parties in a position to do so to continue contributing on a voluntary basis to the Least Developed Countries Fund in order to support the implementation of the least developed countries work programme;

7. *Invites* the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to continue to support the remaining activities contained in the least developed countries work programme;

8. *Requests* the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to share, in its next report, lessons learned and progress made in its pilot accreditation of Global Environment Facility national project agencies;

9. *Invites* the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to include, in its annual report to the Conference of the Parties, information on specific actions that it has undertaken to implement the remaining elements of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, with a view to the Conference of the Parties determining, at its twenty-first session, appropriate further guidance to be provided to the Global Environment Facility;

10. *Requests* the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to enhance communication with its implementing agencies and to encourage its implementing agencies to enhance their communication with countries to facilitate a timely implementation of other elements of the least developed countries work programme including national adaptation programmes of action.

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[2] FCCC/SBI/2014/INF.17

[3] FCCC/SBI/2014/MISC.3

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Agenda item 11(a)
Matters relating to finance
Second review of the Adaptation Fund

Second review of the Adaptation Fund

Draft conclusions proposed by the Chair

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its forty-first session, recommended the following draft decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session:

Draft decision -/CMP.10

Second review of the Adaptation Fund

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Underlining the crucial importance of the Adaptation Fund as an essential channel for supporting adaptation action and the main promoter of direct access, together with its focus on funding the full costs of concrete adaptation projects and programmes in developing countries,

Noting with deep concern the continued issues related to the sustainability, adequacy and predictability of funding from the Adaptation Fund, given the current prices of certified emission reductions, which affect its ability to fulfil its mandate,

1. *Takes note* of the report of the Adaptation Fund Board[1] and the technical paper on the second review of the Adaptation Fund;[2]

2. *Underlines* the urgent implementation of the resource mobilization strategy of the Adaptation Fund Board;

[1] FCCC/KP/CMP/2014/6
[2] FCCC/TP/2014/7

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3. *Encourages* the Adaptation Fund Board to consider the following options for addressing the predictability of resources, in particular:

(a) The scale of resources;

(b) Regular estimates of the resources needed;

(c) Continuous review of the status of projects;

4. *Requests* the Adaptation Fund Board to consider options, including those contained in the technical paper referred to in paragraph 1 above, for addressing the diversification of revenue streams of the Adaptation Fund, in accordance with the mandate of the Fund;

5. *Also requests* the Adaptation Fund Board to consider, under its readiness programme, the following options for enhancing the access modalities of the Adaptation Fund:

(a) Targeted institutional strengthening strategies to assist developing countries, in particular the least developed countries, to accredit more national or regional implementing entities to the Adaptation Fund;

(b) Ensuring that accredited national implementing entities have increased and facilitated access to the Adaptation Fund, including for small-size projects and programmes;

6. *Further requests* the Adaptation Fund Board to consider options for developing operational linkages, as appropriate, between the Adaptation Fund and constituted bodies under the Convention, taking into consideration the mandates of the respective bodies;

7. *Takes note* of decision -/CP.20[1] concerning the request of the Conference of the Parties to the Standing Committee on Finance to consider issues related to possible future institutional linkages and relations between the Adaptation Fund and other institutions under the Convention;

8. *Decides* to extend to June 2017 the interim arrangements with the secretariat of the Adaptation Fund Board and the trustee of the Adaptation Fund, with a view to further consideration by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol of options for permanent institutional arrangements for the secretariat and trustee, including via an open and competitive bidding process and on the basis of the cost and time frame of each option and its legal and financial implications;

9. *Requests* the Subsidiary Body for Implementation, at its forty-fourth session (May 2016), to initiate the third review of the Adaptation Fund, in accordance with the terms of reference contained in the annex to decision 2/CMP.9, or as they may be subsequently amended, and to report back to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its twelfth session (November–December 2016), with a view to the review being undertaken by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session (November–December 2017);

10. *Also requests* the Adaptation Fund Board to include in its report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session (November–December 2015) information on the progress made in relation to the matters referred to in paragraphs 3–6 above.

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[1] Draft decision proposed for adoption under agenda item 12(b) of the Conference of the Parties.

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Agenda item 13(a)
Capacity-building
Capacity-building under the Convention

Capacity-building under the Convention

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) welcomed the summary report on the 3rd meeting of the Durban Forum for in-depth discussion on capacity-building held at SBI 40.[1]

2. The SBI invited Parties to submit to the secretariat, by 18 February 2015, as part of their annual submissions pursuant to decision 4/CP.12, their views on specific thematic issues relating to capacity-building under the Convention in developing countries, to be considered at the 4th meeting of the Durban Forum on capacity-building, to be held at SBI 42 (June 2015), as well as their views on the organization of that meeting.

3. The SBI also invited Parties to submit, by the same date, their views on the terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries, to be initiated at SBI 42, with a view to completing the review at the twenty-second session of the Conference of the Parties (November–December 2016).[2]

4. The SBI continued, but did not conclude, its consideration of capacity-building for developing countries under the Convention. It agreed to continue its consideration of the matter at SBI 42, with a view to recommending a draft decision for consideration and adoption by the Conference of the Parties at its twenty-first session (November–December 2015).

[1] FCCC/SBI/2014/14
[2] Decision 13/CP.17

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Agenda item 13(b)
Capacity-building
Capacity-building under the Kyoto Protocol

Capacity-building under the Kyoto Protocol

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) welcomed the summary report on the 3rd meeting of the Durban Forum on capacity-building.[1]

2. The SBI invited Parties to submit to the secretariat, by 18 February 2015, as part of their annual submissions pursuant to decision 6/CMP.2, their views on specific thematic issues relating to capacity-building under the Kyoto Protocol in developing countries, to be considered at the 4th meeting of the Durban Forum on capacity-building, to be held at SBI 42 (June 2015), as well as their views on the organization of that meeting.

3. The SBI also invited Parties to submit to the secretariat, by the same date, their views on the terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7. The review is to be initiated at SBI 42, with a view to completing it at the twelfth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) (November–December 2016).[2]

4. The SBI continued, but did not conclude, its consideration of capacity-building for developing countries under the Kyoto Protocol. It agreed to continue its consideration of the matter at SBI 42, with a view to recommending a draft decision for consideration and adoption at CMP 11 (November–December 2015).

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[1] FCCC/SBI/2014/14

[2] In line with decision 15/CMP.7, paragraph 8

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Agenda item 9
National adaptation plans

National adaptation plans

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) welcomed the information submitted by Parties on their experiences with the application of the initial guidelines for the formulation of national adaptation plans (NAPs) as well as information relevant to the formulation and implementation of NAPs provided by Parties and relevant organizations through their submissions.[1]

2. The SBI also welcomed the documents prepared for the session, including the following:

(a) Information paper on experiences, good practices, lessons learned, gaps and needs in the process to formulate and implement national adaptation plans;[2]

(b) Information paper on the national adaptation plan process.[3]

3. The SBI recommended a draft decision on national adaptation plans for consideration and adoption by the Conference of the Parties at its twentieth session (for the text of the draft decision, see document FCCC/SBI/2014/L.42/Add.1).

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[1] FCCC/SBI/2014/MISC.1
[2] FCCC/SBI/2014/INF.14
[3] FCCC/SBI/2014/INF.25

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Agenda item 9
National adaptation plans

National adaptation plans

Draft conclusions proposed by the Chair

Addendum

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its forty-first session, recommended the following draft decision for consideration and adoption by the Conference of the Parties at its twentieth session:

Draft decision -/CP.20

National adaptation plans

The Conference of the Parties,

Recalling decisions 1/CP.16, 5/CP.17, 12/CP.18 and 18/CP.19,

1. *Decides* that a revision of the initial guidelines for the formulation of national adaptation plans is not necessary at this time;

2. *Recognizes* that the process to formulate and implement national adaptation plans is fundamental for building adaptive capacity and reducing vulnerability to the impacts of climate change;

3. *Reiterates* that the national adaptation plan process is a country-driven, gender-sensitive, participatory and fully transparent approach, taking into consideration vulnerable groups, communities and ecosystems, and should be based on and guided by the best available science and, as appropriate, traditional and indigenous knowledge, with a view to integrating adaptation into relevant social, economic and environmental policies and actions, where appropriate;

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4. *Recognizes* the continuous, iterative and long-term nature of the national adaptation plan process, and that the national adaptation plans can serve as an important tool for ensuring a common understanding and for communicating progress made towards both reducing vulnerability and integrating climate change adaptation into national and development planning;

5. *Acknowledges* the importance of communicating, in a flexible manner, what the process to formulate and implement national adaptation plans involves, as well as the outputs and outcomes of that process;

6. *Decides* that there is a need to enhance the reporting on the process to formulate and implement the national adaptation plans;

7. *Notes* that there is a need to strengthen the existing reporting related to the process to formulate and implement national adaptation plans under the Convention;

8. *Decides* to explore options for enhancing reporting related to the process to formulate and implement national adaptation plans as a part of the workshop referred to in document FCCC/SBI/2014/8, paragraph 106, and the review to monitor and evaluate progress made on the national adaptation plan process referred to in decision 5/CP.17, paragraph 37;

9. *Invites* least developed country Parties and other interested developing country Parties that are not least developed countries that may wish to do so, to forward outputs, including national adaptation plan documents, and outcomes related to the process to formulate and implement national adaptation plans, to the NAP Central;

10. *Decides* to further consider how to enhance reporting related to the process to formulate and implement national adaptation plans at the forty-second session of the Subsidiary Body for Implementation (June 2015);

11. *Requests* the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Green Climate Fund, as an operating entity of the Financial Mechanism, to consider how to best support developing countries Parties in accessing funding from the Green Climate Fund for the process to formulate and implement national adaptation plans, and to report thereon to the Subsidiary Body for Implementation at its forty-second session.